

Document Readers

EPA Award Document

Title: Compliance and Permit Assurance Project

Document Status**Document Phase:** Final**Last Modified:** 04/18/2016**Current Editor:** John Owen**Delegate:** Sharon Fortune**Application Information****Amount Requested:** \$13,505**Date Received:** 05/24/2006**Date Signed:** 05/23/2006**Award Information****Awarding Region:** EPA R2**Grant Number:** 98283603-2**Record Type:** A**Program Code:** X1 - Solid Waste Management Assistance: Training Education Studies and Demonstrations**Grant Type:** Non-Construction**Agreement Type:** Assistance Amendment**Payment Method:** Advance**ACH#:** E512**Payment Office:** Region 2 Financial Management Branch**Postponed:****Funding Pkg. Date:** 07/14/2006**Mailing Date:** 09/05/2006**Award Date:** 08/28/2006**Release Date:****ODN:** X198283603**Recipient Information****Name and Address of Recipient****Applicant Type:** Indian Tribe**Applicant Name:** SRMT - Saint Regis Mohawk Tribe**DUNS:** 037365780**Address:** 412 State Route 37**City:** Akwesasne**County:** St. Regis Mohawk Indian Reservation**State:** NY**Zip:** 13655**Congressional Dist:** 24**EIN:** 16-1007650**Organizational Unit:** Environmental Division**Sub Org. Unit:****NSF Code:****Minority Institution:****Name and Address of Payee****Payee Name:** Saint Regis Mohawk Tribe**Address:** 412 State Route 37**City:** Akwesasne**State:** NY**Zip:** 13655**Recipient Point Of Contact****Project Manager:** Ken Jock**Title:** Project Manager**Fax:****E-Mail:****Phone:** 518-358-5937**Address:** 412 State Route 37**City:** Akwesasne**State:** NY**Zip:** 13655**EPA Contacts****Grant Specialist:** John Owen**GS ID:** OJH**Office:** Grants and Contracts Management Branch**Mail Code:** OPM/GCMB

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Project Officer:	Lorraine Graves	Approving Region:	EPA R2
Title:	Project Manager		
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Address:	290 Broadway, 22nd Floor	Mail Code:	DEPP/RPB/SWT
City:	New York		
State:	NY	Zip:	10007-1866
Approval Office Div:	Division of Environmental Planning and Protection		
Address:	290 Broadway, 21st Floor		
City:	New York		
State:	NY	Zip:	10007-1866
Approval Office Address Printed on the OMB			
Region:			
Division:			
Address:			
City:			
State:		Zip:	
Congressional Liaison:	Barbara Brooks	Phone:	202-564-2783
AAShip/RAShip:	R2 - Region 2		
Division/Office:	Division of Environmental Planning and Protection		
Lab/Office:	Region 2		
Branch:	RCRA Programs Branch		

Project Information

CFDA:	66.808 - Solid Waste Management Assistance
Media:	Solid Waste
Project Title:	Compliance and Permit Assurance Program
Description:	Project is to create an open dump compliance program consisting of policies and procedures for dealing with violators.
Explan. of Changes:	Increase of Funds (Supplemental); Terms & Conditions (Major); This amendment increase the Federal share of the agreement by \$13,505 from \$63,300 to \$76,805. These funds are need to complete the work under this agreement, there is no change to the scope of work under this agreement. In addition, Administrative Condition Nos. 3 (Financial Status Report/Grant Close-out) and 11 (Indirect Costs) and Programmatic Condition No. 9 (Progress Reports/Final Report) are changed and Administrative Condition No. 13 (Limination on Charging Costs - Overdue Financial Status Report(s)) is added to the agreement.
Budget Period Cost:	\$76,805
Project Period Cost:	\$76,805
Project Period Start:	07/01/2003
Project Period End:	12/31/2006
Budget Period Start:	07/01/2003
Budget Period End:	12/31/2006
Applicant ID:	
State ID:	
Statutory Auth:	Resource Conservation and Recovery Act of 1976 (PL 94-580)
Regulatory Auth:	40 CFR PART 31
Special Tracking Code:	S
High Risk / Special Payment:	
Areas Affected by Project	
State(s):	NY
County/Countries:	St. Regis Reservation
City/Cities:	St. Regis Reservation
Congressional Dist:	24
Zip Code(s):	
International	
Work Performed outside the U.S.:	

Competition, EPA Order 5700.5A1 - Amendment**Was the amendment competed in accordance with the Competition Policy?**







No

Enter the reason why this amendment was not competed based on the Competition Policy (EPA Order 5700.5A1).

The amendment is a supplemental funding amendment for additional within scope work not exceeding a cumulative total of \$15000 (per agreement)

Competition code:

I

Award Document Attachments**Attachments:**  FR  GS FY-06 (2nd) BLMR  PO comment on PRC CodeSRMTX1982836032awardltr.doc  PO comment on how project fits statute  Clarification Section 6  Additional information for final report condition

SRMT current indirect cost rate status is fixed carryforward 01/10/06 to 12/31/06.

Fiscal Information**Vendor Code:** 161007650A2**Accounting Period:****COMPASS Status:**

Line	Site Name	Req No	Fiscal Yr	Approp Code	BO Code	PRC	Object Class	Amount	Site Project	Cost Org Code
1	-	RPP035	0506	B	31M	301DA1E	4183	13,505	-	-
								13,505		

Award Amount

Funds	Former Award	This Action	Amended Total
EPA Amount This Action:	\$63,300	\$13,505	\$76,805
EPA In-Kind Amount:	\$0	\$	\$0
Unexpended Prior Yr. Bal:	\$0	\$	\$0
Other Federal Funds:	\$0	\$	\$0
Recipient Contribution:	\$0	\$	\$0
State Contribution:	\$0	\$	\$0
Local Contribution:	\$0	\$	\$0
Other Contribution:	\$0	\$	\$0
Allowable Project Cost:	\$63,300	\$13,505	\$76,805

Approved Budget

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$36,201
2. Fringe Benefits	\$13,311
3. Travel	\$5,500
4. Equipment	\$0
5. Supplies	\$3,018
6. Contractual	\$6,730
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$64,760
10. Indirect Costs: Rate 23.25% Base	\$12,045
11. Total (Share: Recipient 0.00 % Federal 100.00 %.)	\$76,805
12. Total Approved Assistance Amount	\$76,805
13. Program Income	\$0

14. Total EPA Amount Awarded This Action	\$13,505
15. Total EPA Amount Awarded To Date	\$76,805

Administrative & Programmatic Conditions

Administrative Conditions

All Terms and Conditions to the previous award No. X1982836-03-0 and -1, notwithstanding any previously acknowledged as having been complied with, are incorporated by reference herein. The following administrative conditions are changed to read as follows:

3. FINANCIAL STATUS REPORTS/GRANT CLOSEOUT

A) Interim Financial Status Reports (FSR)

An Interim Financial Status Report (FSR) is to be submitted to the EPA Grants and Contracts Management Branch 90 days after the anniversary of the project period start date. Interim FSRs should be submitted to:

Roch Baamonde, Chief
Grants and Contracts Management Branch
USEPA Region 2
290 Broadway, 27th Floor
New York, NY 10007

B) Final Financial Status Reports

In accordance with 40 CFR 30.52(a)(1)(iv) or 31.41(b)(4) as it applies, the recipient shall submit to the EPA, Las Vegas Finance Center a "final" Financial Status Report (FSR) within 90 days after the expiration of the project period end date or the date of termination. You can either fax the reports to 702-798-2423 or mail them to:

U.S. Environmental Protection Agency
Las Vegas Finance Center
P.O. Box 98515
Las Vegas, NV 89193-8515

EPA may extend the due date for submission of a final FSR upon a written request from the recipient. The recipient is required to submit an "interim" FSR to the EPA Region 2 Grants and Contracts Management Branch, along with this request.

C) Closeout

The Administrative Closeout Phase for this grant will be initiated with the submission of a "final" FSR. At that time, the recipient must submit the following forms/reports to the EPA Region 2 Grants and Contracts Management Branch, if applicable:

- Federally Owned Property Report
- An Inventory of all Property Acquired with federal funds

- Contractor's or Grantee's Invention Disclosure Report (EPA Form 3340-3)

Additionally, the recipient's Final Request for Payment should be submitted to the LVFC.

11. INDIRECT COSTS

If recipient's the negotiated rate does not extend through the life of the Assistance Agreement, additional indirect cost rate proposal(s) must be submitted until the full life of the Assistance Agreement is covered by negotiated indirect cost rates. The recipient **will not charge nor claim for reimbursement any indirect costs that are not covered by a negotiated indirect cost rate.**

The following condition is added to the agreement:

13. LIMITATION ON CHARGING COSTS - OVERDUE FINANCIAL STATUS REPORT(s)

The recipient may incur costs on eligible activities, but is precluded from charging further costs to this Grant Agreement for costs incurred after September 30, 2005 until the recipient has submitted to the EPA Grants Office the overdue annual Financial Status Report(s) (SF-269A) showing expenditures through September 30, 2004 and September 30, 2005. In the future, no reimbursements will be made for costs incurred during periods for which Financial Status Reports are overdue until the overdue Financial Status Reports have been submitted to the EPA Grants Office.

Programmatic Conditions

The following Programmatic Condition is changed to read as follows:

9. PERFORMANCE REPORTING AND FINAL PERFORMANCE REPORT

Recipients subject to 40 CFR Part 31

Performance Reports

The recipient shall submit to the EPA Project Officer **quarterly** performance reports (preferably electronic copies). The recipient shall submit to the EPA Project Officer quarterly progress reports 30 days after the end of each quarter (October 31, January 31, April 30, and July 31 are the due dates).

In accordance with 40 CFR 31.40, the recipient agrees to include in performance reports submitted under this agreement brief information on each of the following areas:

- 1) a comparison of actual accomplishments with the anticipated outputs/outcomes specified in the assistance agreement work plan;
- 2) reasons why anticipated outputs/outcomes were not met;

3) other pertinent information including, when appropriate, analysis and explanation of cost overruns or high unit costs.

In accordance with 40 CFR 31.40, the recipient agrees that it will notify EPA of problems, delays, or adverse conditions which materially impair the ability to meet the outputs/outcomes specified in the assistance agreement work plan.

Final Performance Report

The final quarterly progress report shall be considered the final report and shall be submitted 90 days after the expiration of this agreement which is December 31, 2006. If technically possible, EPA would prefer that these reports be submitted electronically.

The report will include any agreed-upon work-product(s) resulting from the project and the following:

- 1) an abstract or overview of the project including completed workplan activities;
- 2) a comparison of actual accomplishments with the anticipated outputs/outcomes specified in the assistance agreement work plan;
- 3) reasons why anticipated outputs/outcomes were not met;
- 4) other pertinent information, including, when appropriate, analysis and explanation of cost overruns or high unit costs;
- 5) the methods to be used to effectively disseminate project information and/or continue the benefits of this project (although the project itself may not be continuing);
- 6) materials generated in connection with project activities (e.g. workshop announcements, newspaper/newsletter announcements, articles or releases, press packets, pamphlets, etc.).
- 7) the final report will be required to provide an analysis of the content and quantity of the MSW collected by the permitted haulers. In addition, there will be some analysis and recommendation toward potential success of recycling some of the commodities identified.

EPA Review

Read Access: Controlled

Readers: John Owen
Lorraine Graves
Janet Stephens
Janeime Castro

Submitted: 07/14/2006

Approvers: Roch Baamonde, Adolph Everett,
Lorraine Graves

Submitted: 08/28/2006

Due Date: 08/28/2006

Response	Approver	Date
Approve	Lorraine Graves	08/28/2006
Approve	Roch Baamonde	08/27/2006
Approve	Adolph Everett	08/28/2006

Grant Coordinator: John Owen

EPA Signature

Award Official: Donna Vizian

Printed Name: Donna J. Vizian

Title: Assistant Regional
Administrator for Policy and

Phone: 212-637-3580

Management

Delegate:

Title:

Phone:

Signature:

Approval:

Date: 08/28/2006

Notes:

Attachment:

Recipient Review

Read Access: Controlled

Applicant POC: Ken Jock

Title: Project Manager

Phone: 518-358-5937

Recipient Acceptance

Authorized Rep:

Print Name:

Title: Tribal Chiefs

Phone:

Acceptance Date: 09/26/2006

Action Code Table

Entry	Date	Action
P	05/24/2006	1 - Application Received By EPA
E	07/14/2006	3 - Funding Recommendation w/Change Request Received By GMO
P	08/28/2006	F - Award
E	09/27/2007	FC - Completion of All Work Project Closed Out

Origination Information

Notifications History